NOTIFICATION of an INCIDENT

Incidents must be notified to ASNO within 2 hours of detection

Ref. No. ________________

What type of incident was it?

A “security” related incident? ☐
A “loss of control” incident? ☐
“Other” incident? ☐

Refer to Explanatory Notes on the reverse of this form.

What was affected, and where? ➔ Refer to Explanatory Notes on the reverse of this form

Nuclear material? ☐
Associated items? ☐
During transportation? ☐

Details about the incident ➔ If insufficient space, then send attachments

Where did it happen?

Describe the incident:

Date & time incident detected: ____________________________ Earliest possible date & time of incident: ____________________________

What effect did the incident have?

What emergency responses were implemented?

Which authorities were informed?

Names of Incident Coordinator(s): ____________________________ Phone number(s): ( ) ( )

Details about the nuclear material or associated item (where applicable)

Batch number/item name: ____________________________ Material category: ☐

General description: ____________________________

Signature and permit details

Name: ____________________________

Position: ____________________________

Signature: ____________________________ Date: ___/___/_______

Permit Holder: ____________________________ Permit No: ____________________________
Explanatory Notes

Ref.No. ......................... A sequential reference number is required for each form of this type submitted by the Permit Holder (eg, 001, 002, 003, etc). Where amendments are made to a previously submitted form, please use the same reference with a sequential revision number (eg, 003-Rev.1).

Incident notification – sequencing of actions

(1) In the first instance, all incidents must be notified by phone to the ASNO on-call officer **within two (2) hours of detection of the incident**.

(2) Secondly, complete and fax this form to ASNO **within four (4) hours of detection of the incident**.

<table>
<thead>
<tr>
<th>ACTION</th>
<th>INFORMATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Phone ASNO on-call officer</td>
<td>Mobile: +61 (0)408 423 750</td>
</tr>
<tr>
<td>(b) Complete / fax this form to ASNO</td>
<td>Fax: +61 (0)2 6261 1908</td>
</tr>
</tbody>
</table>

“Security” related incident ..................... The tampering with, or breaching of (either partially, or fully), the practical and/or institutional measures designed to prohibit unauthorised access to nuclear materials, associated items or uranium ore concentrates (UOC).

“Loss of Control” incident ..................... The situation in which a permit holder has lost the ability to apply permit conditions—on a continuous basis—to the nuclear material, associated items or UOC.

“Other” incident .................. The situation in which external factors (eg, weather) have directly impacted on the approved arrangements for the transportation of nuclear material, associated items or UOC, causing a change to shipment schedules, integrity of the consignment, approved containerisation arrangements, approved transportation arrangements, approved vessels and/or the approved transportation route.

Date and time of incident ..................... Provide the date and time the incident is determined to have occurred. Where this is not known, the last time control of the nuclear material, associated item of UOC was verified.

Signature ..................... This form must be signed by a representative of the Permit Holder (ie, the organisation) who will take responsibility for, and sign documents on behalf of, the organisation.

This form replaces the following forms ➔ ASO201 (version 3 - issued 22 July 2010), ASO202, ASO209, ASO216, ASO217 and ASO221