



International Relations Grants Program Australia-Indonesia Institute 2024 Guidelines

Opening date:	09:00 AEDT on 21 November 2024
Closing date and time:	13:00 AEDT on 14 January 2025
Commonwealth policy entity:	Department of Foreign Affairs and Trade
Co-sponsoring entity:	Not applicable
Administering entity	Department of Foreign Affairs and Trade
Enquiries:	If you have any questions, please contact the Australia- Indonesia Institute Secretariat on (02) 6261 3821 or ausindonesia.institute@dfat.gov.au
	Questions should be sent no later than 6 January 2025
Date guidelines released:	21 November 2024
Type of grant opportunity:	Open competitive

Contents

1.	International Relations Grants Program: Australia-Indonesia Institute 2024 processes		
2.	About	t the grant program	5
	2.1	About the Australia-Indonesia Institute grant opportunity	6
3.	Grant	amount and grant period	6
	3.1	Grants available	6
	3.2	Grant period	7
4.	Eligib	ility criteria	7
	4.1	Who is eligible to apply for a grant?	7
	4.2	Who is not eligible to apply for a grant?	8
5.	What	the grant money can be used for	8
	5.1	Eligible grant activities	8
	5.2	Eligible expenditure	8
	5.3	What the grant money cannot be used for	9
6.	The a	ssessment criteria	10
7.	How t	o apply	11
	7.1	Attachments to the application	12
	7.2	Joint (consortia) applications	13
	7.3	Timing of grant opportunity processes	13
	7.4	Questions during the application process	13
8.	The g	rant selection process	14
	8.1	Assessment of grant applications	14
	8.2	Who will assess applications?	15
	8.3	Who will approve grants?	15
9.	Notifi	cation of application outcomes	15
	9.1	Feedback on your application	15
10.	Succe	essful grant applications	15
	10.1	The grant agreement	15
	10.2	How we pay the grant	16
	10.3	Grants Payments and GST	16
11.	Anno	uncement of grants	17
12.	How v	ve monitor your grant activity	17
	12.1	Keeping us informed	17
	12.2	The Department of Foreign Affairs and Trade's responsibilities	17
	12.3	Reporting	17
	12.4	Compliance visits	18
	12.5	Record keeping	18
	12.6	Evaluation	18
	12.7	Acknowledgement	

13. Probity		19	
	13.1	Enquiries and feedback	19
	13.2	Conflicts of interest	19
	13.3	Privacy	20
	13.4	Confidential Information	20
	13.5	Sexual misconduct prevention and response	21
	13.6	Freedom of information	21
14.	Glossa	ary	22
Аp	pendix	A. Acronyms	25

International Relations Grants Program: Australia-Indonesia Institute 2024 Grant Round process

The International Relations Grants Program advances Australian Government objectives

This grant opportunity is part of the above Grant Program which contributes to the Department of Foreign Affairs and Trade's Outcome 1¹ in the Portfolio Budget Statements. The Australia-Indonesia Institute of the Department of Foreign Affairs and Trade (DFAT) works with stakeholders to plan and design the grant program according to the *Commonwealth Grants Rules and Guidelines*.



The grant opportunity opens

We publish the grant guidelines on GrantConnect



You complete and submit a grant application

You complete the application form and address all the eligibility and assessment criteria to be considered for a grant.



We assess all grant applications

We assess the applications against eligibility criteria. The Board of the Australia-Indonesia Institute then assesses eligible applications against the assessment criteria including an overall consideration of value with relevant money and compares it to other applications.



We make grant recommendations

We provide advice to the decision maker on the merits of each application.



Grant decisions are made

The decision maker decides which applications are successful.



We notify you of the outcome

We advise you of the outcome of your application. We may not notify unsuccessful applicants until grant agreements have been executed with successful applicants.



We enter into a grant agreement

We will enter into a grant agreement with you if successful. The type of grant agreement is based on the nature of the grant and will be proportional to the risks involved.



Delivery of grant

You undertake the grant activity as set out in your grant agreement. We manage the grant by working with you, monitoring your progress and making payments.



Evaluation of the Australia-Indonesia Institute grant program

We evaluate your specific grant activity and the Australia-Indonesia Institute as a whole. We base this on information you provide to us and that we collect from various sources.

¹ The advancement of Australia's international strategic, security and economic interests including through bilateral, regional and multilateral engagement on Australian Government foreign, trade and international development priorities.

1.1 Introduction

These guidelines contain information for the Australia-Indonesia Institute 2024-25 grant round.

You must read these guidelines before submitting an application.

This document sets out:

- the purpose of the grant program/grant opportunity
- the eligibility and assessment criteria
- how grant applications are considered and selected
- how grantees are notified and receive grant payments
- how grantees will be monitored and evaluated
- responsibilities and expectations in relation to the opportunity.

2. About the grant program

The International Relations Grants Program (the Program) is an ongoing program, subject to annual budget appropriation.

The objectives of the Program are to promote people-to-people links and a contemporary and positive image of Australia, and to support the Australian Government's international policy goals.

The expected outcomes of the Program are:

- strengthened bilateral relationships in areas of mutual interest with particular countries and regions,
- international networks, collaboration and connections between institutions and communities to build understanding, trust and influence,
- enhanced Australian international reputation and reach through the promotion of our economic, creative and cultural, sporting, innovation and science, and education assets, and
- increased understanding of Australians of the cultures and opportunities in each of these countries.

Further information on the Program, including descriptions of previous grant-funded projects, is available at www.dfat.gov.au/councils.

Information on all grant opportunities, and grants awarded, is provided through GrantConnect.

DFAT reserves the right to cease selection processes for Grant Opportunities under the International Relations Grants Program. If this were to occur, all applicants will be contacted directly by the department at the first available opportunity and updated on the department's website.

We administer the program according to the <u>Commonwealth Grants Rules and Guidelines</u> (CGRGs)².

² https://www.finance.gov.au/sites/default/files/2019-11/commonwealth-grants-rules-and-guidelines.pdf

2.1 About the Australia-Indonesia Institute grant opportunity

The <u>Australia-Indonesia Institute</u> (AII) seeks to strengthen the Australia-Indonesia relationship in ways that enhance mutual understanding and people-to-people links.

Key objectives of the AII grant opportunity are:

- increase public awareness of Australia in Indonesia, and of Indonesia in Australia, and the importance of the bilateral relationship
- develop partnerships in areas of shared interest in the bilateral, regional and global context, and
- increase Australians' capacity to effectively engage with Indonesia and Indonesians' ability to effectively engage with Australia.

Key priority areas of the All 2024 grant program are:

Education, Language and Indonesian Studies

- Health and Science

Economic and Business Engagement

Digital Innovation and Technology

- Arts and Culture

- Youth and Sport

- Media

- Religion

The intended outcomes of the grant opportunity are:

- strengthened bilateral relationship in areas of mutual interest
- strengthened networks, collaboration and connections between Australian and Indonesian institutions and communities to build understanding, trust and influence
- enhanced Australian reputation and reach in Indonesia through the promotion of our economic, creative and cultural, sporting, innovation and science, and education assets
- increased Australian understanding of the cultures and opportunities in Indonesia.

The grant for the highest ranked application in the cultural stream will be named the Andrew Ross Memorial Grant – in memory of the late Mr Andrew Ross, a former All Board Member, for his outstanding contribution to increasing contemporary arts collaboration between Indonesia and Australia.

Grant amount and grant period

3.1 Grants available

In FY 2024-25, an estimated \$500,000 is available for AII grant opportunities (under the International Relations Grants Program).

Additionally, up to \$700,000 Official Development Assistance (ODA) funding is available for grant proposals that are ODA-eligible. Funding may be offered to proposals that meet the grant criteria outlined in the Guidelines and also contribute to priorities for development cooperation with Indonesia (as outlined in the <u>Australia-Indonesia Development Partnership Plan</u>).

Grant applications for a minimum of \$10,000 to a maximum of \$50,000 per year will be considered.

Co-contributions (in-kind and/or cash) from applicants and other parties strengthen an application and are strongly encouraged.

Successful applicants may be offered a lower amount of funding than requested. Applicants will be given an opportunity to decide whether they wish to accept any reduced funding.

3.2 Grant/Project period

The standard grant period is one year.

You must complete your grant/project by the end date designated in your grant agreement. Following the grant/project period, an evaluation period of 60 days will commence.

A no-cost grant extension of up to one year (12 months) from the original grant agreement end date may be considered in exceptional circumstances (subject to performance). An extension can be requested by phone but must be confirmed and approved by the AII Secretariat in writing before the grant end date. A contract amendment must be agreed and signed by the grantee and AII Secretariat.

Eligibility criteria

Applications must satisfy all eligibility criteria to be considered.

4.1 Who is eligible to apply for a grant?

To be eligible you must:

- be one of the following entity types:
 - an Australian entity with an Australian Business Number (ABN), Australian Company Number (ACN), or Indigenous Corporation Number (ICN)
 - an Australian consortium with a lead organisation
 - an Australian registered charity or not-for-profit organisation
 - an Australian local government body
 - an Australian State/Territory government body
 - a Corporate Commonwealth Entity
 - an Australian statutory authority
 - be an Australian citizen or permanent resident of Australia
 - be a citizen or organisation from Indonesia.
- and be willing to provide or develop child protection guidelines that meet the <u>Child Protection</u>
 <u>Policy | Australian Government Department of Foreign Affairs and Trade (dfat.gov.au)</u> for your
 project if it involves people under the age of 18 years.

Applications from Indigenous Australians and organisations, and Indonesian organisations are strongly encouraged.

Applications from consortia are acceptable, provided you have a lead applicant who is the main driver of the project and is eligible as per the list above.

Individuals who intend the grant to be administered by a university should apply on behalf of the university, i.e. your university is the applicant.

4.2 Who is not eligible to apply for a grant?

You are not eligible to apply if you are:

- an organisation, or your project partner is an organisation, included on the National Redress Scheme's website on the list of 'Institutions that have not joined or signified their intent to join the Scheme' (www.nationalredress.gov.au)³
- A previous applicant who has failed to provide a full and proper acquittal of an earlier IRGP grant.

What the grant money can be used for

5.1 Eligible grant activities

To be eligible the grant activity outlined in the applications should:

- contribute to the objectives of the All.
- promote a contemporary and positive image of Australia in Indonesia and/or Indonesia in Australia.
- address one (or more) of the eight key thematic focus areas.
- Education, Language and Indonesian Studies
 Arts and Culture
- Health and Science
 Youth and Sport
- Economic and Business Engagement Media
- Digital Innovation and Technology
 Religion

Examples of successful grant activities for the priority areas can be found on the DFAT All website – Previous All grants.

Grant applicants intending to carry out activities focussed on scientific exchange or research should become familiar with Australia's export control regimes as appropriate Global security Australian Government Department of Foreign Affairs and Trade (dfat.gov.au).

Similarly, the guidelines to counter foreign interference in the Australian university sector is a useful resource: <u>Guidelines to Counter Foreign Interference in the Australian University Sector - Department of Education</u>, Australian Government.

5.2 Eligible expenditure

Your grant must be used to implement the project outlined within the application. You can use the grant to pay for costs detailed in your budget and grant agreement, including:

- economy flights, modest accommodation costs (3-4 star hotel), meals and travel allowances, other transport and travel costs at reasonable rates.
- communication and translation
- venue hire and catering
- advertising and promotion, graphic design, photography, social media, video and printed material

³ The National Redress Scheme for Institutional Child Sexual Abuse Grant Connected Policy makes non-government institutions named in applications to the Scheme, or in the Royal Commission into Institutional Responses to Child Sexual Abuse, that do not join the Scheme ineligible for future Australian Government grant funding. The National Redress Scheme Grant Connected Policy came into effect on 1 January 2021. The Department of Social Services is the responsible entity for questions and advice regarding this policy (see www.dss.gov.au)

- production costs, including freight and artists' and support staff wages and/or fees directly related to the project (business-as-usual wages or fees will not be supported)
- only key participant(s) per conference or meeting and only where the participant(s) is/are
 principal speaker and the subject of the conference is of direct relevance to the grant
 opportunity.

Costs relating to auspices services, project administration or coordination (e.g. temporary engagement of a coordinator) may be considered but will capped at 5% of the total grant value.

For activities delivered in Australia, applicants are encouraged to consider the use of an Indigenous supplier, if intending to subcontract any of the services above. A directory of registered Indigenous businesses is available on the Supply Nation website.

You can only spend grant funds on eligible grant expenditures that enables the grant activities as defined in the grant agreement. You should allow for the exchange fees in your project budget. You are responsible for any financial differences that may occur from the time of the application submission to when the project takes place, due to fluctuations in the exchange rate. Bank remittance fees are to be covered by the grantee.

5.3 What the grant money cannot be used for

You cannot use the grant for the following:

- capital expenditure, including purchase of real estate and vehicles
- purchase of equipment (for example, musical instruments, computers, videos, photographic or printing equipment)
- activities which are already commercially viable in their own right
- activities which will provide commercial advantage to the applicant (e.g. promotion of the applicant's own business)
- costs incurred in the preparation of a grant application or related documentation, other than costs relating to auspices services, project administration or coordination (e.g. temporary engagement of a coordinator) as noted in Section 5.2
- subsidy of general ongoing administration of an organisation such as electricity, phone, rent, and/or administrative charges levied by the applicant's organisation
- activities for which other Commonwealth, State, Territory or Local Government bodies have primary responsibility (e.g. academic research, assistance to business, development assistance projects),
- study tours or activities undertaken by schools or higher education institutions where travel by a significant number of students is the principal element of the proposal
- scholarships to individual students
- the covering of retrospective costs or recurrent funding of activities, and
- completed projects

We generally do not fund travel and accommodation for attendance at conferences or meetings; participation in fieldwork; sporting; or other events. Such activities are scrutinised by the AII Board and must be of direct relevance to the project and AII objectives.

Travel for a larger number of people may be considered (fully or partially funded) where an event specifically focused on the proposed AII grant activity. Travel for more than one person must be fully justified in any application.

The assessment criteria

We will first assess your application against the eligibility criteria (section 4.1). Only eligible applications will move to the next stage. Eligible applications will be considered through an open competitive grant process.

We will then assess your application against the assessment criteria set out below and against other applications. Your application will be considered on its merits, based on:

- how well it meets the criteria
- how it compares to other applications
- whether it provides value with relevant money.

You must address all assessment criteria in your application. The amount of detail and supporting evidence you provide in your application should be relative to the project size, complexity and grant amount requested. All criteria are weighted equally. The application form includes word limits.

Criterion 1: How will the grant activity contribute to the objectives of the AII? (weighting = 25%)

In providing a response to this criterion you **must** include, but are not limited to:

- a succinct summary of the grant activity, written for a non-expert in the activity area
 - the summary should include information about whether the grant activity is a standalone project or part of a larger project
 - the summary must demonstrate alignment to the All's objectives and one (or more) of the All's thematic priority areas (refer to section 2.1).

See link for more details <u>Australia-Indonesia Institute | Australian Government Department of Foreign Affairs and Trade (dfat.gov.au).</u>

Criterion 2: What is the grant activity's potential for raising awareness of Australia in Indonesia / Indonesia in Australia and how does it promote a contemporary and positive image of Australia? (weighting = 25%)

In providing a response to this criterion, you should demonstrate how your grant activities would reach out to a broader audience (e.g. the public and/or decision-makers) and also how you would create or strengthen formal or other relationships. You should include, but are not limited to:

- any proposed quantitative or qualitative performance measures to indicate the expected reach of your project such as anticipated:
 - events (e.g. public seminars, promotional events, performances, exhibition days, or community events)
 - number of participants/audience spectators (and a description of your target audience)
 and why you expect this level of engagement
 - media engagement plans (including social media), the type of media interest you seek to generate (e.g. articles, radio and television broadcasts), distribution plans (e.g. of a publication).
- indicating your awareness of and commitment to gender balance by referencing efforts within your project activities to achieve balanced representation.
 - indicating any follow-up activities that could arise from the grant activity and that could ensure networks created between individuals and institutions through the project are sustained.

Criterion 3: What is the 'value add' of the grant to your activity and why is the activity needed? (weighting = 25%)

In providing a response to this criterion you should include, but are not limited to:

- describing why the activity is needed and how the grant will help address a gap
- demonstrating how your grant activity would address a new area of interest and cooperation between Australia and Indonesia
- demonstrating how your grant activity would address an area of interest and cooperation between Australia and Indonesia in a new, innovative and improved way
- describing the impact of the grant activity on the Australia Indonesia relationship.

Criterion 4: What is the capability and capacity of the applicant to undertake the grant activity? (weighting = 25%)

In providing a response to this criterion you should demonstrate you and/or your organisation's capability and capacity to successfully undertake your grant activity. You should include, but are not limited to:

- outlining co-contributions (in-kind-and/or cash) from your organisation and other parties that demonstrate commitment to the project
- a one-page capability statement of the organisation and/or CV of the project leader(s)
 - if your project will be carried out in Indonesia, you should indicate your Indonesian cultural capability, and vice versa for projects that will be carried out in Australia
- two signed references from referees with no direct financial interest in your project
 - referees should comment on the project's objectives and the strategies to achieve them
 - references that provide different perspectives on the proposal; and
 - referees who work for different organisations.
- a letter of support from your organisation's research office or equivalent (if you are from a large organisation) that confirms alignment of your grant activity to the organisation's international strategies.
- If you have a partner/s please also provide a capability and commitment statement including a letter of support from the partner/s and a record of past successful partnerships with the partner/s.

7. How to apply

Before submitting your application, you must read these grant guidelines and <u>the All website</u>. These documents may be found at GrantConnect and <u>SmartyGrants</u>. Any alterations and addenda⁴ will be published on GrantConnect and by registering on this website, you will be

⁴ Alterations and addenda include but are not limited to: corrections to currently published documents, changes to close times for applications, Questions and Answers (Q&A) documents and Frequently Asked Questions (FAQ) documents

automatically notified on any changes. GrantConnect is the authoritative source for grants information.

You must submit your grant application via the online system <u>SmartyGrants</u>. The application form includes help information. You must submit your grant application in English by 13:00 AEDT 14 January 2025.

If you have any technical difficulties please contact <u>SmartyGrants</u>. Help Desk (service@smartygrants.com.au, +61 3 9320 6888 between 9am and 5pm Monday to Friday).

DFAT will not provide application forms or accept applications for this grant opportunity by fax or mail.

You must address all of the eligibility and assessment criteria and provide two short signed independent references to be considered for a grant. Please complete each section of the application form and make sure you provide the information we have requested.

Please keep a copy of your application and any supporting papers.

We will acknowledge that we have received your grant application, through an automated email from the online grants management system, *SmartyGrants*, within one working day.

If you find an error in your application after submitting it, you should contact us immediately at <u>ausindonesia.institute@dfat.gov.au</u>. We do not have to accept any additional information, nor requests from you to correct your application after the closing time.

If we find an error or information that is missing, we may ask for clarification or additional information from you that will not change the nature of your application. However, we can refuse to accept any additional information from you that would change your submission after the application closing time.

You are responsible for ensuring that your application is complete and accurate. Giving false or misleading information is a serious offence under the <u>Criminal Code 1995</u> and we will investigate any false or misleading information and may exclude your application from further consideration.

7.1 Attachments to the application

We require the following documents with your application:

- two short signed independent references
- letter of support from nominated partners (if relevant)
- organisation capability statement or individual's curriculum vitae (optional)
- if you apply on behalf of a university, a letter of support from your Research Office.

Supporting documentation should be attached to the application form. Only attach the documents you have been asked to include.

Among applications from universities, the most competitive applications will be those with letters of support from the relevant Research Office highlighting the alignment of the proposed project to the Australia-Indonesia Institute's priority outcomes, the relevance of the project to the University's strategic goals in the relevant country.

7.2 Joint (consortia) applications

Some organisations may apply as a consortium to deliver grant activities. A consortium is two or more organisations who are working together to combine their capabilities when developing and delivering a grant activity.

If you are submitting a grant application on behalf of a consortium, a member organisation or a newly created organisation must be appointed as the 'lead organisation'. Only the lead organisation will enter into a grant agreement with the Commonwealth and will be responsible for the grant. The lead organisation must complete the application form and identify all other members of the proposed consortium in the application. The application must include a letter of support from each organisation in the consortium. Each letter of support should include:

- an overview of how the consortium will work together to complete the grant activity
- an outline of the relevant experience and/or expertise of the consortium members
- the roles/responsibilities of consortium members and the resources they will contribute (if any)
- details of a nominated management level contact officer
- details of the lead organisation.

You must have a formal arrangement in place with all parties prior to execution of the agreement.

7.3 Timing of grant opportunity processes

You must submit an application between the published opening and closing dates. We cannot accept late applications.

If you are successful, we expect you will be able to commence your grant activity in the 2024-2025 financial year.

Activity	Timeframe
Application period	Open: 09:00 (AEDT) 21 November 2024 Close: 13:00 (AEDT) 14 January 2025
Assessment of applications	5 weeks
Approval of outcomes of selection process	February-March 2025
Negotiations and award of grant agreements	March 2025
Notification to unsuccessful applicants	March 2025

7.4 Questions during the application process

If you have any questions during the application period, please contact the Australia-Indonesia Institute Secretariat, (02) 6261 3821, <u>ausindonesia.institute@dfat.gov.au</u>. DFAT undertakes to respond to emailed questions within five working days.

Questions must be received no later than 6 January 2025.

Applicants may speak with the AII Secretariat in their first language by telephoning the Translating and Interpreting Service on 131 450 (local call anywhere in Australia) and asking to be connected with the AII Secretariat.

Aboriginal and Torres Strait Islander applicants may wish to access assistance in submitting an application where English is not their first language. In these cases, applicants may contact an Indigenous language centre for assistance.

8. The grant selection process

When preparing the application, bear in mind that the assessment committee may not be familiar with the applicant, the organisation or the field of activity. As the Board's recommendation will be primarily based on the information provided in the application form, this document should be clear, accurate, comprehensive and focused.

The Board and the Program Delegate (Assistant Secretary Indonesia Branch) will also strongly consider the value with relevant money that your project offers for the investment of Commonwealth of Australia funds in their decision making. In expressing the value with relevant money that your project offers you should consider:

- use of grant funding to execute the criteria outlined above
- inclusion of other sources of income and an indication of whether each source of income is confirmed, conditional or pending approval; i.e. project partners
- other sources of income can include other grants from the Australian Government agencies, State and Territory Government, local governments, etc, and in-kind contributions from your organisation and your Indonesian/Australian partner.
- appropriate, reasonable and realistic economy travel costings
- hybrid options for conferences, forums, roundtables and meetings where appropriate to increase the reach and inclusivity of activities.

8.1 Assessment of grant applications

We (the All Secretariat and Board) first review your application against the eligibility criteria.

If eligible, we will then assess your application against the assessment criteria (see Section 6) and rank it against other applications. We consider your application on its merits, based on:

- how well it meets the assessment criteria
- how it compares to other applications
- whether and the extent to which it provides value with relevant money.5
- which groups or individuals will be the target of grant activities. This includes groups or individuals which as a result of grant activities will:
 - increase their awareness of the bilateral relationship and its importance
 - develop partnerships in areas of shared interest to Australia and Indonesia
 - increase their capacity to engage with Australia or Indonesia
 - promote a positive image of Australia in Indonesia/Indonesia in Australia.

_

⁵ See glossary for an explanation of 'value with relevant money'.

8.2 Who will assess applications?

An assessment committee will assess applications. The assessment committee will be made up of <u>All Board</u> members and DFAT officials. Board members are treated as Commonwealth officials due to their role in the assessment process in accordance with Part 1, section 2.8 of the CGRGs and the *Public Governance*, *Performance and Accountability Act 2013*.

The AII Secretariat, within the Department of Foreign Affairs and Trade, will provide information and advice to the assessment committee to assist its determination of policy and its assessment of grant applications.

The assessment committee may seek additional input about you or your application. They consult other DFAT officials and Commonwealth agencies, even if the sources are not nominated by you as referees. The assessment committee may also consider information about you or your application that is publicly available.

The committee may contact applicants to clarify or explain information provided in the application.

8.3 Who will approve grants?

The Program Delegate decides which grants to approve taking into account the recommendations of the assessment committee and the availability of grant funds for the purposes of the grant program.

The Program Delegate's decision is final in all matters, including:

- the approval of the grant
- the grant funding amount to be awarded

There is no appeal mechanism for decisions to approve or not approve a grant.

9. Notification of application outcomes

We will advise you of the outcome of your application in writing. If you are successful, we will advise you of any specific conditions attached to the grant.

You can submit a new application for the same grant (or a similar grant) in any future grant opportunities under the program. You should include new or more information to address any weaknesses that may have prevented your previous application from being successful.

9.1 Feedback on your application

If you are unsuccessful, you may request feedback from the AII Secretariat within one month of being advised of the outcome. The AII Secretariat will provide feedback within one month of the request.

10. Successful grant applications

10.1.1 The grant agreement

If you are successful, you must enter into a legally binding grant agreement with the Commonwealth represented by the Department of Foreign Affairs and Trade. Standard terms and conditions for the grant agreement will apply and cannot be changed. Any additional conditions attached to the grant will be identified in the grant offer or during the grant agreement negotiations.

You will be required to:

- complete a brief communications outline within two weeks of accepting the grant offer,
- provide a final report, including financial acquittal, using the online template within 60 days of project completion, and
- where conference or meeting participation of a principal speaker is funded, provide a copy of the paper presented by the participant in addition to the report.

DFAT will negotiate agreements with successful applicants. If there are unreasonable delays in finalising a grant agreement, the grant offer may be withdrawn and the grant may be awarded to a different applicant.

We must execute a grant agreement with you before we can make any payments. We are not responsible for any of your expenditure until a grant agreement is executed. If you choose to start your project before you have an executed grant agreement, you do so at your own risk.

Where a grantee fails to meet the obligations of the grant agreement, DFAT may withhold or suspend the funding to the grantee; and/or require the grantee to repay all or part of the grant. No compensation is payable by the Department for termination in these circumstances.

You should not make financial commitments until a grant agreement has been executed by the Commonwealth.

The Commonwealth may recover grant funds if there is a breach of the grant agreement.

10.2 How we pay the grant

We will pay 100 per cent of the grant on execution of the grant agreement and on receipt of a valid invoice. You will be required to report how you spent the grant funds at the completion of the grant activity.

All grants are awarded in Australian dollars. If you are based in Indonesia, the payment will be made in Indonesia rupiah up to the equivalent value in Australian dollars. You should allow for the exchange fees in your project budget. You are responsible for any financial differences that may occur from the time of the application submission to when the project takes place, due to fluctuations in the exchange rate.

The grant agreement will state the:

- maximum grant amount to be paid
- proportion of eligible expenditure covered by the grant (grant percentage)
- any financial contributions you must make
- any in-kind contributions you will make
- any financial contribution provided by a third party.

We will not exceed the maximum grant amount under any circumstances. If you incur extra costs, you must meet them yourself.

10.3 Grant Payments and GST

Payments will be made as set out in the grant agreement.

If you receive a grant, you should consider speaking to a tax advisor about the effect of receiving a grant before you enter into a grant agreement. Pay particular attention to whether your grant activities will attract GST and advise the Secretariat <u>before</u> signing the agreement. You can also visit the <u>Australian Taxation Office</u> website for more information.

11. Announcement of grants

If successful, your grant will be listed on the <u>DFAT website</u> and <u>GrantConnect</u> website and on within 21 days of the date of effect⁶ as required by Section 5.3 of the CGRGs.

12. How we monitor your grant activity

12.1 Keeping us informed

You should let us know if anything is likely to affect your grant activity or organisation.

We need to know of any key changes to your organisation or its business activities, particularly if they affect your ability to complete your grant, carry on business and pay debts due.

You must also inform us of any changes to your:

- name
- addresses
- nominated contact details
- bank account details.

If you become aware of a breach of terms and conditions under the grant agreement, you must contact us immediately.

12.2 The Department of Foreign Affairs and Trade's responsibilities

The Department of Foreign Affairs and Trade will:

- meet the terms and conditions set out in the grant agreement
- provide timely administration of the grant
- evaluate the grantee's performance.

Funding under this grants program does not imply that DFAT endorses the views of recipients involved in any funded activity.

12.3 Reporting

You must submit reports in line with the grant agreement. You will be able to download them from SmartyGrants. We will remind you of your reporting obligations before a report is due. We will expect you to report on:

- progress against agreed grant activity milestones and outcomes, including progress against your communications plan
- contributions of participants directly related to the project
- expenditure of the grant.
- scheduled communications activity

_

⁶ See glossary

The amount of detail you provide in your reports should be relative to the size, complexity and grant amount.

We will monitor progress by assessing reports you submit and may conduct site visits or request records to confirm details of your reports if necessary. Occasionally we may need to re-examine claims, seek further information or request an independent audit of claims and payments.

Progress reports (if applicable)

Progress reports must:

- include evidence of your progress towards completion of agreed activities and outcomes
- show the total eligible expenditure incurred to date
- be submitted by the report due date (you can submit reports ahead of time if you have completed relevant activities).

You must discuss any reporting delays with us as soon as you become aware of them.

Final report

When you complete the grant activity, you must submit a final report.

Final reports must:

- identify if and how outcomes have been achieved
- include the agreed evidence as specified in the grant agreement
- identify the total eligible expenditure incurred
- outline communication activities and impact
- be submitted within 60 days of completion in the format provided in the grant agreement.

12.4 Compliance visits

We may visit you during or at the completion of your grant activity to review your compliance with the grant agreement. We will provide you with reasonable notice of any compliance visit.

12.5 Record keeping

We may also inspect the records you are required to keep under the grant agreement.

12.6 Evaluation

DFAT will evaluate the activities to measure how well the outcomes and objectives have been achieved. We may use information from your application and reports for this purpose. We may also interview you or ask you for more information to help us understand your perspective on the impacts of the activities and to evaluate how effective the program was in achieving its outcomes.

We may contact you up to one year after your grant agreement concludes for more information to assist with this evaluation.

12.7 Acknowledgement

The Australia-Indonesia Institute logo/Australian Government crest is to be used on all materials related to the grants. Whenever the logo/crest is used in a publication, the recipient must also acknowledge the Commonwealth as follows:

'This activity received grant funding from the <u>Australia-Indonesia Institute</u> of the Department of Foreign Affairs and Trade.'

Invitations to AII board members and/or officers of DFAT to represent the Australian Government support for the project are welcomed.

13. Probity

The Australian Government will make sure that the selection process is fair, according to the published guidelines, incorporates appropriate safeguards against fraud, unlawful activities and other inappropriate conduct and is consistent with the CGRGs.

The Foreign Influence Transparency Scheme provides the public with visibility of the nature, level and extent of foreign influence on Australia's government and politics. It is recommended that you review obligations and responsibilities under the scheme at Foreign Influence Transparency Scheme | Attorney-General's Department (ag.gov.au) before establishing project partners.

Note: These guidelines may be changed from time-to-time by DFAT. When this happens the revised guidelines will be published on the Department's website at http://dfat.gov.au/councils.

13.1 Enquiries and feedback

All complaints about a grant process must be lodged in writing with the Secretariat.

Any questions you have about grant decisions for the Program should be sent to ausindonesia.institute@dfat.gov.au. You may wish to list @dfat.gov.au as a trusted emailer in your email system.

If you do not agree with the way DFAT has handled your complaint, you may complain to the <u>Commonwealth Ombudsman</u>. The Ombudsman will not usually look into a complaint unless the matter has first been raised directly with DFAT.

The Commonwealth Ombudsman can be contacted on:

Phone (Toll free): 1300 362 072

 ${\bf Email: ombudsman@ombudsman.gov.au}$

Website: www.ombudsman.gov.au

13.2 Conflicts of interest

Any conflicts of interest could affect the performance of the grant opportunity or program. There may be a conflict of interest, or perceived conflict of interest, if DFAT staff, All Board member, any member of a committee or advisor and/or you or any of your personnel:

- has a professional, commercial or personal relationship with a party who is able to influence the application selection process, such as an Australian Government officer [or member of an external panel]
- has a relationship with or interest in, an organisation, which is likely to interfere with or restrict the applicants from carrying out the proposed activities fairly and independently or
- has a relationship with, or interest in, an organisation from which they will receive personal gain because the organisation receives a grant under the grant program/ grant opportunity.

You will be asked to declare, as part of your application, any perceived or existing conflicts of interests or that, to the best of your knowledge, there is no conflict of interest.

If you later identify an actual, apparent, or perceived conflict of interest, you must inform DFAT in writing immediately.

Conflicts of interest for Australian Government staff will be handled as set out in the Australian Public Service Code of Conduct (Section 13(7)) of the *Public Service Act 1999*. Committee

members and other officials including the decision maker must also declare any conflicts of interest.

We publish our conflict of interest policy on the DFAT website.

13.3 Privacy

We treat your personal information according to the <u>Privacy Act 1988</u> and the <u>Australian Privacy Principles</u>. This includes letting you know:

- what personal information we collect
- why we collect your personal information
- who we give your personal information to.

Your personal information can only be disclosed to someone else for the primary purpose for which it was collected, unless an exemption applies.

The Australian Government may also use and disclose information about grant applicants and grant recipients under this grant opportunity in any other Australian Government business or function. This includes disclosing grant information on GrantConnect as required for reporting purposes and giving information to the Australian Taxation Office for compliance purposes.

We may share the information you give us with other Commonwealth entities for purposes including government administration, research or service delivery, according to Australian laws.

As part of your application, you declare your ability to comply with the Privacy Act 1988 and the Australian Privacy Principles and impose the same privacy obligations on officers, employees, agents and subcontractors that you engage to assist with the activity, in respect of personal information you collect, use, store, or disclose in connection with the activity. Accordingly, you must not do anything, which if done by DFAT would breach an Australian Privacy Principle as defined in the Act.

13.4 Confidential Information

Other than information available in the public domain, you agree not to disclose to any person, other than us, any confidential information relating to the grant application and/or agreement, without our prior written approval. The obligation will not be breached where you are required by law, Parliament or a stock exchange to disclose the relevant information or where the relevant information is publicly available (other than through breach of a confidentiality or non-disclosure obligation).

We may at any time, require you to arrange for you; or your employees, agents or subcontractors to give a written undertaking relating to nondisclosure of our confidential information in a form we consider acceptable.

We will keep any information in connection with the grant agreement confidential to the extent that it meets all of the three conditions below:

- 1. you clearly identify the information as confidential and explain why we should treat it as confidential
- 2. the information is commercially sensitive
- 3. revealing the information would cause unreasonable harm to you or someone else.

We will not be in breach of any confidentiality agreement if the information is disclosed to:

- the [committee] and other Commonwealth employees and contractors to help us manage the program effectively
- employees and contractors of our department so we can research, assess, monitor and analyse our programs and activities
- employees and contractors of other Commonwealth agencies for any purposes, including government administration, research or service delivery
- other Commonwealth, State, Territory or local government agencies in program reports and consultations
- the Auditor-General, Ombudsman or Privacy Commissioner
- the responsible Minister or Parliamentary Secretary, and
- a House or a Committee of the Australian Parliament.

The grant agreement may also include any specific requirements about special categories of information collected, created or held under the grant agreement.

13.5 Sexual misconduct prevention and response

DFAT has a zero-tolerance approach to sexual exploitation, abuse and harassment. It is expected that all individuals participating in this program will comply with DFAT's Preventing sexual exploitation, abuse and harassment (PSEAH) policy. Any allegations or reports of misconduct will be taken seriously. The Sex Discrimination Act 1984 (Cth) defines the nature and circumstances in which sexual harassment is unlawful.

In response to the Royal Commission into Institutional Responses to Child Sexual Abuse, the Australian Government has introduced the National Redress Scheme, which provides acknowledgement and support to people who have experienced institutional child sexual abuse.

For more information and support, please visit: http://www.nationalredress.gov.au/ or; call the National Redress Scheme line on 1800 737 377.

13.6 Freedom of information

All documents in the possession of the Australian Government, including those about this grant opportunity, are subject to the <u>Freedom of Information Act 1982</u> (FOI Act).

The purpose of the FOI Act is to give members of the public rights of access to information held by the Australian Government and its entities. Under the FOI Act, members of the public can seek access to documents held by the Australian Government. This right of access is limited only by the exceptions and exemptions necessary to protect essential public interests and private and business affairs of persons in respect of whom the information relates.

All Freedom of Information requests must be referred to the Freedom of Information Coordinator in writing.

By mail: The Director, Freedom of Information and Privacy Law Section

Corporate Legal Branch

Department of Foreign Affairs and Trade R.G. Casey Building, John McEwen Crescent

BARTON ACT 0221

By email: foi@dfat.gov.au

14. Glossary

Term	Definition
accountable authority	see subsection 12(2) of the <u>Public Governance</u> , <u>Performance and Accountability Act 2013</u>
administering entity	when an entity that is not responsible for the policy, is responsible for the administration of part or all of the grant administration processes
assessment criteria	are the specified principles or standards, against which applications will be judged. These criteria are also used to assess the merits of proposals and, in the case of a competitive grant opportunity, to determine application rankings.
commencement date	the expected start date for the grant activity
completion date	the expected date that the grant activity must be completed and the grant spent by
co-sponsoring entity	when two or more entities are responsible for the policy and the appropriation for outcomes associated with it
date of effect	can be the date on which a grant agreement is signed or a specified starting date. Where there is no grant agreement, entities must publish information on individual grants as soon as practicable.
decision maker	the person who makes a decision to award a grant
eligibility criteria	refer to the mandatory criteria which must be met to qualify for a grant. Assessment criteria may apply in addition to eligibility criteria.
Commonwealth entity	a Department of State, or a Parliamentary Department, or a listed entity or a body corporate established by a law of the Commonwealth. See subsections 10(1) and (2) of the PGPA Act
Commonwealth Grants Rules and Guidelines (CGRGs)	establish the overarching Commonwealth grants policy framework and articulate the expectations for all non-corporate Commonwealth entities in relation to grants administration. Under this overarching framework, non-corporate Commonwealth entities undertake grants administration based on the mandatory requirements and key principles of grants administration.

Term	Definition
grant	for the purposes of the CGRGs, a 'grant' is an arrangement for the provision of financial assistance by the Commonwealth or on behalf of the Commonwealth:
	a. under which relevant money ⁷ or other <u>Consolidated</u> <u>Revenue Fund</u> (CRF) money ⁸ is to be paid to a grantee other than the Commonwealth; and
	b. which is intended to help address one or more of the Australian Government's policy outcomes while assisting the grantee achieve its objectives.
grant activity/activities	refers to the project/tasks/services that the grantee is required to undertake
grant agreement	sets out the relationship between the parties to the agreement, and specifies the details of the grant
GrantConnect	is the Australian Government's whole-of-government grants information system, which centralises the publication and reporting of Commonwealth grants in accordance with the CGRGs
grant opportunity	refers to the specific grant round or process where a Commonwealth grant is made available to potential grantees. Grant opportunities may be open or targeted and will reflect the relevant grant selection process.
grant program	a 'program' carries its natural meaning and is intended to cover a potentially wide range of related activities aimed at achieving government policy outcomes. A grant program is a group of one or more grant opportunities under a single [entity] Portfolio Budget Statement Program.
grantee	the individual/organisation which has been selected to receive a grant
GST	GST is payable on taxable supplies and taxable importations. GST may also apply to Australian goods and services consumed overseas depending on how they are delivered by the supplier. For GST to apply the goods or services must be considered a taxable supply.
	Where DFAT pays an entity grant funding, it does not have to pay GST on the funding payment unless the entity makes a 'supply' in return for the payment. Supply is defined as a good, service, advice, information, rights or obligation
Official Development Assistance (ODA)	Australian government funding that promotes and targets the economic development and welfare of developing countries

 $^{^{\}rm 7}$ Relevant money is defined in the PGPA Act. See section 8, Dictionary.

 $^{^{\}rm 8}$ Other CRF money is defined in the PGPA Act. See section 105, Rules in relation to other CRF money.

Term	Definition
ODA-eligible	Proposed activity/ies has the promotion of economic development and welfare of Indonesia as its main objective
PBS Program	described within the entity's Portfolio Budget Statement, PBS programs each link to a single outcome and provide transparency for funding decisions. These high-level PBS programs often comprise a number of lower level, more publicly recognised programs, some of which will be Grant Programs. A PBS Program may have more than one Grant Program associated with it, and each of these may have one or more grant opportunities.
selection criteria	comprise eligibility criteria and assessment criteria.
selection process	the method used to select potential grantees. This process may involve comparative assessment of applications or the assessment of applications against the eligibility criteria and/or the assessment criteria.
value with relevant money	 a judgement based on the grant proposal representing an efficient, effective, economical and ethical use of public resources and determined from a variety of considerations. When administering a grant opportunity, an official should consider the relevant financial and non-financial costs and benefits of each proposal including, but not limited to: the quality of the project proposal and activities; fitness for purpose of the proposal in contributing to government objectives; that the absence of a grant is likely to prevent the grantee and government's outcomes being achieved; and the potential grantee's relevant experience and performance history.

Appendix A. Acronyms

Acronyms used in these guidelines, online and within application forms

ABN	Australian Business Number
ACN	Australian Company Number
AEDT	Australian Eastern Daylight Time
AEST	Australian Eastern Standard Time
All	Australia-Indonesia Institute
CGRGs	Commonwealth Grants Rules and Guidelines
CV	Curriculum Vitae
DFAT	Department of Foreign Affairs and Trade
FCI	Foundations, Councils and Institutes
FOI	Freedom of Information
GST	Goods and Services Tax
IRGP	International Relations Grants Program
ODA	Official Development Assistance
PBS	Portfolio Budget Statement
PGPA Act	Public Governance, Performance and Accountability Act
PSEAH	Preventing Sexual Exploitation, Abuse and Harassment Policy