



**Permit authorising transactions that make available certain assets to, or for the benefit of, certain designated entities**

- Permit:** SAN-2022-00061
- Date of Issue:** 25 May 2022
- Period of Validity:** 2 years from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first.
- Authority:** Regulations 18(1)(e) and 18(2)(a), *Autonomous Sanctions Regulations 2011* (the **Regulations**)
- Permit Holders:**
- a) Australian citizens, residents and bodies corporate, and Commonwealth, State and Territory entities, bound by the *Autonomous Sanctions Act 2011* and the Regulations, and holding or seeking to obtain intellectual property rights under the laws of the Russian Federation and/or under the Eurasian Patent Convention.
  - b) Australian citizens, residents and bodies corporate, and Commonwealth, State and Territory entities, bound by the *Autonomous Sanctions Act 2011* and the Regulations, who have been engaged by the Permit Holders listed in paragraph a) to assist them undertake the Permitted Activities.
- Permitted Activities** Transactions, including payments, required to be made to, or for the benefit of, the Designated Entities for the purposes of:
- filing and prosecuting any application to obtain a patent, trademark, copyright or other form of intellectual property protection, including through examination;
  - receiving a patent, trademark, copyright or other form of intellectual property protection;
  - renewing or maintaining a patent, trademark, copyright or other form of intellectual property protection; or

- filing and prosecuting any opposition or infringement proceedings with respect to a patent, trademark, copyright, or other form of intellectual property protection, or defending any such proceeding

where provision for the patent, trademark, copyright or other form of intellectual property protection is made under the law of the Russian Federation and/or under the Eurasian Patent Convention.

**Designated Entities:** Ministry of Finance of the Russian Federation

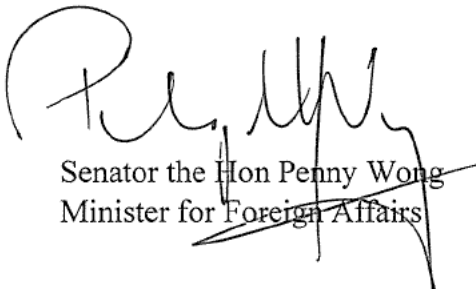
Central Bank of the Russian Federation (aka Bank of Russia; Central Bank; Bank Rossi; Federal State Budgetary Institution; Central Bank of Russia)

Any other Russian government entity, or financial institution used by a Russian government entity, designated under Item 6A or Item 9 of regulation 6 of the Regulations.

**Conditions:**

1. This permit may be amended or revoked if the Minister for Foreign Affairs is satisfied that it would be in the national interest to amend or revoke the permit.
2. The Permit Holders listed in paragraph a) must provide any records, in their possession, of transactions entered into under this Permit on request by the Department of Foreign Affairs and Trade.

Authorised



Senator the Hon Penny Wong  
Minister for Foreign Affairs

### **General Information about this Permit**

This permit is an authorisation granted under a sanction law. It is an offence, punishable by up to 10 years' imprisonment or \$555,000, to give information or a document to a Commonwealth entity in connection with the administration of a sanction law that is false or misleading or omits any matter or thing without which the information or document is misleading (section 17 of the *Autonomous Sanctions Act 2011*).

Any authorisation granted under the regulations is taken never to have been granted if information contained in, or information or a document accompanying, the application for the relevant authorisation is false or misleading in a material particular or omits any matter or thing without which the information or document is misleading in a material particular (section 15 of the *Autonomous Sanctions Act 2011*).

It is an offence to contravene a sanction law, punishable for individuals by up to 10 years imprisonment, or a fine the greater of \$555,000 or three times the value of the contravening transaction and for bodies corporate by a fine the greater of \$2.22 million or three times the value of the contravening transaction (section 16 of the *Autonomous Sanctions Act 2011*). For bodies corporate, this is a strict liability offence.



**Permit authorising transactions that make certain assets available to, or for the benefit of, certain designated entities**

**Permit:** SAN-2022-00069

**Date of Issue:**

**Period of Validity:** 2 years from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first.

**Authority:** Regulations 18(1)(e) and 18(2)(a), *Autonomous Sanctions Regulations 2011* (the **Regulations**)

**Permit Holders:** Australian citizens and bodies corporate, bound by the *Autonomous Sanctions Act 2011* and the Regulations

**Permitted Activities:** Transactions, including payments, required to be made to, or for the benefit of, the Designated Entities for the purposes of payment of taxes as required by the laws of the Russian Federation

**Designated Entities:** Ministry of Finance of the Russian Federation

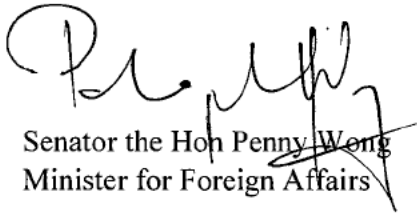
Central Bank of the Russian Federation (aka Bank of Russia; Central Bank; Bank Rossi; Federal State Budgetary Institution; Central Bank of Russia)

Any other Russian government entity, or financial institution used by a Russian government entity, designated under Item 6A or Item 9 of regulation 6 of the Regulations.

**Conditions:**

1. This permit may be amended or revoked if the Minister for Foreign Affairs is satisfied that it would be in the national interest to amend or revoke the permit.
2. The Permit Holders must provide any records in their possession of transactions entered into under this Permit on request by the Department of Foreign Affairs and Trade.

Authorised



Senator the Hon Penny Wong  
Minister for Foreign Affairs

13<sup>th</sup> July, 2022



**Permit authorising making assets available to persons or entities designated under the *Autonomous Sanctions Regulations 2011*, and the use of, or dealing with 'controlled assets', where doing so is associated with the provision of legal and ancillary services**

**Permit:** SAN-2022-00079

**Date of Issue:** 7 / 11 2022

**Period of Validity:** Until:  
 (a) this Permit expires, two years from the date of issue, or  
 (b) this Permit is revoked by the Minister of Foreign Affairs.

**Authority:** Regulations 18(1)(e) and (f), regulation 18(2)(a) and regulation 18(4) of the *Autonomous Sanctions Regulations 2011 (Cth)*.

**Ancillary Services** In this Permit, Ancillary Services are services which are directly related to the provision of legal advice or legal representation, including services relating to: the organisation and filing of court documents; the engagement of expert witnesses; and administrative tasks necessary for legal proceedings.

**Foreign Assets** In this Permit, Foreign Assets are assets which are not located within Australia.

Note: It is intended that Class (b) and (c) Permit Holders only use 'controlled assets' (as described in regulation 3 of the *Autonomous Sanctions Regulations 2011*) which are Foreign Assets to pay for services under this Permit, rather than 'controlled assets' in Australia. Class (a) Permit Holders may return those 'controlled assets' (e.g. 'controlled assets' held on trust) to Class (b) and (c) Permit Holders at the conclusion of the legal services relationship.

**Australian Sanctions Office Contact Point** Director, Australian Sanctions Office

(a) Email (**preferred method**):  
 sanctions@dfat.gov.au

(b) Mail:

Australian Sanctions Office  
R G Casey Building  
John McEwan Crescent  
Barton ACT 0221  
Australia

**Permit Holders:**

The following classes are Permit Holders:

- (a) Australian persons, including bodies corporate, who are legal service providers engaged to provide legal services.
- (b) Any 'designated person or entity' as described in regulation 3 of the *Autonomous Sanctions Regulations 2011*.
- (c) Any person or entity acting for, or on behalf of, a 'designated person or entity' described in (b) above.
- (d) Any persons, including bodies corporate, who are not Class (a), (b), or (c) Permit Holders.

**Authorised Actions:**

The following Authorised Actions are subject to the Restrictions to Authorised Actions:

1. Class (a) Permit Holders are authorised to undertake the following actions, to the extent doing so is required to provide, to Class (b) or (c) Permit Holders, legal advice, legal representation, and Ancillary Services, in relation to matters arising under or related to Australian law:
  - i. Directly or indirectly make assets available to, or for the benefit of, a designated person or entity.
  - ii. Use or deal with 'controlled assets', as described in regulation 3 of the *Autonomous Sanctions Regulations 2011*, or allow those assets to be used or dealt with, or facilitate the use of those assets or dealing with those assets.
2. Class (b) Permit Holders are authorised to undertake the following actions to the extent doing so is required to receive legal advice, legal representation, and Ancillary Services, in relation to matters arising under or related to Australian law:
  - i. Use or deal with any assets a Class (a) Permit Holder makes available to them under Authorised Action 1(i), either directly or indirectly through one or more Class (d) Permit Holders.
  - ii. Use or deal with Foreign Assets, which are 'controlled assets', as described in regulation 3 of the *Autonomous Sanctions Regulations 2011*, or allow

those assets to be used or dealt with, or facilitate the use of those assets or dealing with those assets.

3. Class (c) Permit Holders are authorised to undertake the following actions to the extent doing so is required to receive, in their capacity acting for, or on behalf of, a Class (b) Permit Holder, legal advice, legal representation, and Ancillary Services, in relation to matters arising under or related to Australian law:
  - i. Use or deal with any assets a Class (a) Permit Holder makes available to them under Authorised Action 1(i), either directly or indirectly through one or more Class (d) Permit Holders.
  - ii. Use or deal with Foreign Assets which are 'controlled assets', as described in regulation 3 of the *Autonomous Sanctions Regulations 2011*, or allow those assets to be used or dealt with, or facilitate the use of those assets or dealing with those assets.
4. Class (d) Permit Holders are authorised to undertake the following actions to the extent doing so is required to facilitate Authorised Actions 1, 2 or 3:
  - i. Directly or indirectly make assets available to, or for the benefit of, a designated person or entity.
  - ii. Use or deal with 'controlled assets', as described in regulation 3 of the *Autonomous Sanctions Regulations 2011*, or allow those assets to be used or dealt with, or facilitate the use of those assets or dealing with those assets.

**Restrictions to  
Authorised Actions:**

The Authorised Actions do not extend to:

1. Providing assets to a designated person or entity to which they are entitled as a result of legal proceedings (including monies paid as a result of a decision by a court, tribunal, or arbitrator) or as a result of the settlement of a legal dispute or claim.

Note: It is intended that assets which are to be provided to a designated person or entity as a result of legal proceedings or the settlement of a legal dispute or claim will be frozen, until such time as the person or entity is no longer designated under the *Autonomous Sanctions Regulations 2011*.

2. Actions which are for the purpose of legal advice, legal representation, and Ancillary Services, intended to circumvent Australian laws, including the *Autonomous Sanctions Act 2011* and *Autonomous Sanctions Regulations 2011*.



**Conditions:**

This Permit is subject to the following conditions:

- (a) Class (a), (b), and (c) Permit Holders must notify the Australian Sanctions Office if they intend to rely on this Permit.
- (b) This Permit may be amended or revoked at the discretion of the Minister for Foreign Affairs.

Authorised



Tim Watts

Assistant Minister for Foreign Affairs

### **General Information about this Permit**

This Permit is an authorisation granted under a sanction law. It is an offence, punishable by up to 10 years' imprisonment or \$555,000, to give information or a document to a Commonwealth entity in connection with the administration of a sanction law that is false or misleading or omits any matter or thing without which the information or document is misleading (section 17 of the *Autonomous Sanctions Act 2011*).

Any authorisation granted under the Regulations is taken never to have been granted if information contained in, or information or a document accompanying, the application for the relevant authorisation is false or misleading in a material particular or omits any matter or thing without which the information or document is misleading in a material particular (section 15 of the *Autonomous Sanctions Act 2011*).

It is an offence to contravene a sanction law, punishable for individuals by up to 10 years imprisonment, or a fine the greater of \$555,000 or three times the value of the contravening transaction and for bodies corporate by a fine the greater of \$2.22 million or three times the value of the contravening transaction (section 16 of the *Autonomous Sanctions Act 2011*). For bodies corporate, this is a strict liability offence.



**Permit authorising Australian citizens in Russia, and Australian businesses with interests relating to Russia, to undertake day-to-day activities as customers of certain entities that have been designated for targeted financial sanctions under the *Autonomous Sanctions Regulations 2011***

Permit: **SAN-2022-00053**

Date of Issue: 13 April 2022

Period of Validity: 2 years from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first

Authority: Regulations 18(1) and 18(2)(a) of the *Autonomous Sanctions Regulations 2011* (the Regulations)

Permit Holders: Australian citizens in Russia

Australian businesses with interests relating to Russia where those interests are under contracts, agreements or obligations that are in force as at the date of issue of this Permit and those interests otherwise comply with the *Autonomous Sanctions Act 2011* and the Regulations as they are in force at the time an Australian business seeks to rely on this Permit.

Actions authorised: The Permit authorises the Permit Holders to undertake day-to-day activities as a customer of the following entities that provide essential services and are designated under regulation 6 of the Regulations:

- Gas Industry Insurance Company SOGAZ
- Gazprom
- Gazprom Neft
- Transneft
- Rostelecom
- RusHydro
- Russian Railways

Conditions: This Permit may be amended or revoked if the Minister for Foreign Affairs is satisfied that it would be in the national interest to amend or revoke the permit.

Authorised

A handwritten signature in blue ink, appearing to read 'Marise Payne', with a horizontal line underneath.

Senator the Hon Marise Payne  
Minister for Foreign Affairs

**SAN-2022-00053**

### General Information about this Permit

This permit is an authorisation granted under regulation 18 of the *Autonomous Sanctions Regulations 2011* (the **Regulations**). It is an offence, punishable by up to 10 years' imprisonment or \$555,000, to give information or a document to a Commonwealth entity in connection with the administration of a sanction law that is false or misleading or omits any matter or thing without which the information or document is misleading (section 17 of the *Autonomous Sanctions Act 2011* (Cth)).

Any authorisation granted under the regulations is taken never to have been granted if information contained in, or information or a document accompanying, the application for the relevant authorisation is false or misleading in a material particular or omits any matter or thing without which the information or document is misleading in a material particular (section 15 of the *Autonomous Sanctions Act 2011* (Cth)).

It is an offence to contravene a sanction law, punishable for individuals by up to 10 years' imprisonment, or a fine the greater of \$555,000 or three times the value of the contravening transaction and for bodies corporate by a fine the greater of \$2.2 million or three times the value of the contravening transaction (section 16 of the *Autonomous Sanctions Act 2011* (Cth)). For bodies corporate, this is a strict liability offence.

This permit is subject to termination in the event of changes to Australian sanctions law.



s 47E(d)

Date of Issue: 17 March 2022

Period of Validity: Two years from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first

s 47E(d)

Authorised

A handwritten signature in black ink, appearing to read 'Marise Payne'.

Senator the Hon Marise Payne  
Minister for Foreign Affairs

s 47E(d)





s 47E(d)

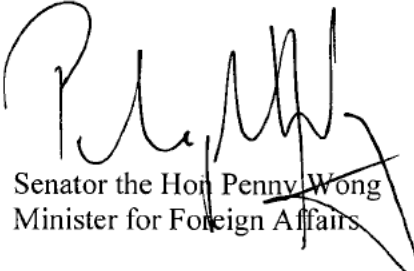
Date of Issue: **09 AUG 2022** 2022

Period of Validity: Two years from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first

s 47E(d)

s 47E(d)

Authorised



Senator the Hon Penny Wong  
Minister for Foreign Affairs

s 47E(d)







s 47F(1)

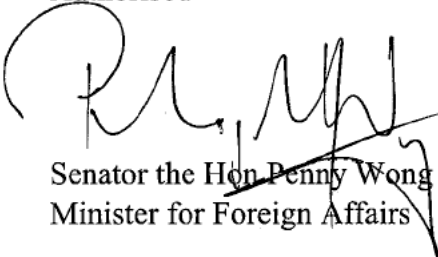
**Date of Issue:**

**Period of Validity:** 365 days from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first

s 47F(1)

s 47F(1)

Authorised

A handwritten signature in black ink, appearing to be 'Penny Wong', written over the printed name.

Senator the Hon Penny Wong  
Minister for Foreign Affairs

Date of Issue: 5.7.22

s 47F(1)





s 47F(1)

**Date of Issue:** 15 May 2022

**Period of Validity:** 365 days from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first

s 47F(1)

s 47F(1)

Authorised

A handwritten signature in blue ink that reads "Marise Payne". The signature is written in a cursive style with a horizontal line underneath.

Senator the Hon Marise Payne  
Minister for Foreign Affairs

s 47F(1)





s 47F(1)

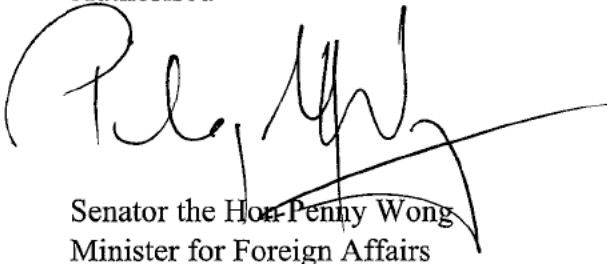
**Period of Validity:** 365 days from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first

s 47F(1)



s 47F(1)

Authorised

A handwritten signature in black ink, appearing to read 'Penny Wong', written over a horizontal line.

Senator the Hon Penny Wong  
Minister for Foreign Affairs

Date of Issue: 24<sup>th</sup> June 2022

s 47F(1)





s 47F(1)

**Date of Issue:** 26 June 2022

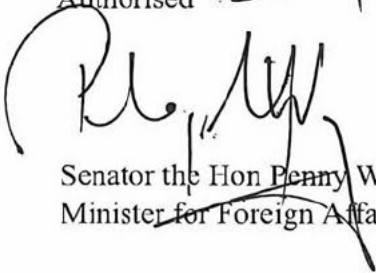
**Period of Validity:** 365 days from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first

s 47F(1)

s 47F(1)

**Date of Issue:**

Authorised 26<sup>th</sup> June, 2022

A handwritten signature in black ink, appearing to read 'Penny Wong', is written over the printed name.

Senator the Hon Penny Wong  
Minister for Foreign Affairs

s 47F(1)





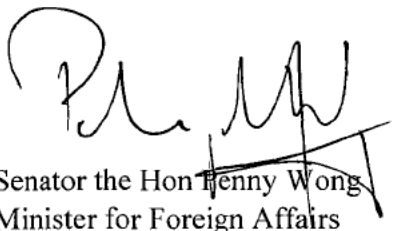
s 47F(1)

**Period of Validity:** 365 days from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first

s 47F(1)

s 47F(1)

Authorised



Senator the Hon Penny Wong  
Minister for Foreign Affairs

Date of Issue: 28<sup>th</sup> July 2022

s 47F(1)







s 47F(1)

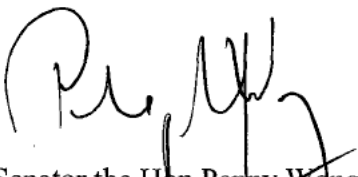
**Period of Validity:** 365 days from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first

s 47F(1)

s 47F(1)

Date of Issue: 31 August 2022

Authorised



Senator the Hon Penny Wong  
Minister for Foreign Affairs

s 47F(1)





s 47G(1)

Date of Issue: 25 February 2022

Period of Validity: Two years from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first.

s 47G(1)

s 47G(1)

Authorised

A handwritten signature in black ink, appearing to read 'Adam McCarthy', with a large, stylized flourish at the end.

Adam McCarthy  
Chief Legal Officer & First Assistant Secretary  
Legal Division  
Department of Foreign Affairs and Trade

s 47G(1)

Pages 38 - 43 (incl) - exempt in full - s47G(1)



s 47G(1)

**Date of Issue:** 23 November 2022

**Period of Validity:** 365 days from the date of issue of this Permit, or until this permit is otherwise cancelled, whichever occurs first.

s 47G(1)

s 47G(1)

Authorised

A handwritten signature in black ink, appearing to read 'Lindsay Buckingham', with a stylized, cursive script.

Lindsay Buckingham  
Assistant Secretary  
Regulatory Legal and Policy Division  
Department of Foreign Affairs and Trade.







ss 33(a)(iii), 47G(1)

Date of Issue: 10<sup>th</sup> March 2022

Period of Validity: 12 months from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first.

ss 33(a)(iii), 47G(1)

ss 33(a)(iii), 47G(1)

Authorised

A handwritten signature in blue ink, appearing to read "Marise Payne", with a long horizontal flourish extending to the right.

Senator the Hon Marise Payne  
Minister for Foreign Affairs

ss 33(a)(iii), 47G(1)





s 47G(1)

**Date of Issue:** 21 April 2022

**Period of Validity:** 31 May 2022, or until this Permit is otherwise cancelled, whichever occurs first.

s 47G(1)

s 47G(1)

Authorised

A handwritten signature in blue ink, appearing to read "Marise Payne", with a long horizontal flourish underneath.

Senator the Hon Marise Payne  
Minister for Foreign Affairs





s 47G(1)

Date of Issue: 22nd April 2022

Period of Validity: 12 months from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first.

s 47G(1)



Pages 54 - 55 (incl) - exempt in full - s47G(1)

s 47G(1)

Authorised

A handwritten signature in blue ink, appearing to read "Marise Payne". The signature is fluid and cursive, with a long horizontal stroke at the end.

Senator the Hon Marise Payne  
Minister for Foreign Affairs

s 47G(1)

Pages 57 - 97 (incl) - exempt in full - s47G(1)



s 47G(1)

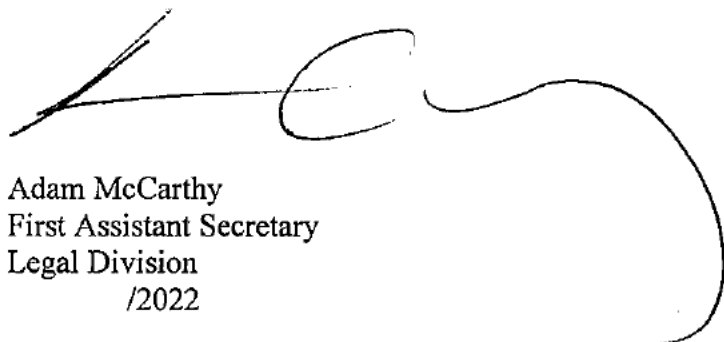
**Date of Issue:**

**Period of Validity:** 365 days from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first.

s 47G(1)

s 47G(1)

Authorised

A handwritten signature in black ink, appearing to be 'Adam McCarthy', written over a horizontal line. The signature is stylized with a large loop at the end.

Adam McCarthy  
First Assistant Secretary  
Legal Division  
/2022

s 47G(1)





s 47G(1)

**Date of Issue:** 11 May 2022

**Period of Validity:** 90 days from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first.

s 47G(1)

s 47G(1)

Authorised

A handwritten signature in blue ink that reads "Marise Payne". The signature is fluid and cursive, with a long horizontal stroke at the end.

Senator the Hon Marise Payne  
Minister for Foreign Affairs







s 47G(1)

**Date of Issue:** 13 September 2022

**Period of Validity:** Two years from the date of issue of this Permit, or this Permit is otherwise cancelled, whichever occurs first.

s 47G(1)

s 47G(1)

Authorised

A handwritten signature in black ink, appearing to be 'Tim Watts', with a long horizontal flourish extending to the right.

The Hon Tim Watts MP  
Assistant Minister for Foreign Affairs

s 47G(1)





s 47G(1)

**Date of Issue:** 15 May 2022

**Period of Validity:** Three (3) months from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first.

s 47G(1)

Authorised

A handwritten signature in blue ink that reads 'Marise Payne'.

Senator the Hon Marise Payne  
Minister for Foreign Affairs

s 47G(1)





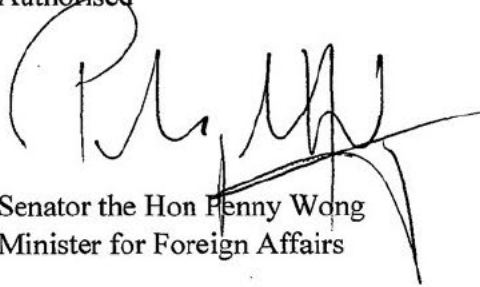
s 47G(1)

**Period of Validity:** 365 days from the date of issue of this Permit, or until this Permit is otherwise cancelled, whichever occurs first

s 47G(1)

s 47G(1)

Authorised



Senator the Hon Penny Wong  
Minister for Foreign Affairs

Date of Issue: 5.7.72

s 47G(1)



